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## SECURITIES AND EXCHANGE COMMISSION

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## Washington, D.C. 20549 FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

	READ ALL INSTRUCTIONS PRIOR	O COMPLET	ING FORM			
Part I	Initial Listing Report					
1.	Name of Self-Regulatory Organization Listing New Derivative Securities Product:					
	Choe BZX Exchange, Inc.					
2.	Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):					
	See Attached.		SEC			
3.	Class of New Derivative Securities Product: See Attached.		Mail Processing			
			Section			
4.	Name of Underlying Instrument: See Attached.	NOV 2 6 2019				
5.	If Underlying Instrument is an Index State Whether it is Broad Rased or Narrow Rased.					
J.	If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based.  Washington DC See Attached.					
6.	Ticker Symbol(s) of New Derivative Securities Product:		413			
	See Attached.					
7.	Market or Markets Upon Which Securities Comprising Underlying Instrument					
	Trades: See Attached.					
8.	Settlement Methodology of New Derivative Securities Product:					
	Trades Locked in at Exchange and settled at NSCC.					
9.	Position Limits of New Derivative Securities Product (if appli	cable):	114 ES SEMBEL ON ESSEND ON TRANSPECTOR OF ANY			
	Not Applicable.		19004356			
Part II	Execution					
	The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.					
Name o	f Official Responsible for Form: Kyle Murray		A CONTRACT OF THE CONTRACT OF			
Title:	VP, Associate General		<del></del> 8			
Telepho	one Number: 913-815-7121	<u> </u>				
Manual	Signature of Official Responsible for Form:	Aet	Securities Exchange Aet at 1934			
Date:	November 25, 2019	sestion Rule				
Publi Avail			NOV 2 6 2019			

## ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON CBOE BZX EXCHANGE, INC. COMMENCING ON NOVEMBER 20, 2019

(6) Ticker Symbol	(2) Type of Issuer of NDSP	(3) Class of NDSP	(4) Name of Underlying Instrument	(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades	(5) Broad or Narrow
PFLD	Trust	Investment Company Units	ICE 0-5 Year Duration Exchange-Listed Preferred & Hybrid Securities Index	U.S.	Narrow

## C\*boe

November 25, 2019

Ms. Claudette Ransom Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549 SEC Mail Processing Section NOV 2 6 2019

Washington DC 413

RE: Form 19b-4(e) – Cboe BZX Exchange, Inc.

Ms. Ransom, 🕾

On behalf of Cboe BZX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding one (1) derivative securities product that commenced trading on Cboe BZX Exchange on November 20, 2019. Please contact me if you have any questions in connection with this matter.

Sincerely,

Kyle Murray

VP, Associate General Counsel

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913.815.7121

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